

IN THE MATTER OF

## BRIAND, HARRISON & ASSOCIATES CORPORATION, STEPHEN V. HARRISON and CRAIG D. BRIAND

(Respondents)

## **ORDER**

**WHEREAS** Staff of the Commission filed a Statement of Allegations against the Respondents on 19 October 2009.

**AND WHEREAS** the Respondents entered into a Settlement Agreement dated 12 April 2010 ("Agreement") in which they agreed to a proposed settlement of violations of New Brunswick securities law as alleged in the Statement of Allegations, subject to the approval of the Commission;

AND UPON REVIEWING the said Agreement and the Agreed Statement of Facts therein;

**AND WHEREAS** the Commission is of the opinion that it is in the public interest to make this Order.

## IT IS HEREBY ORDERED THAT:

- 1. Pursuant to section 191(1)(a) of the *Securities Act*, the Agreement dated 12 April 2010 with respect to the Respondents is hereby approved;
- 2. Pursuant to section 184(1)(d) of the *Securities Act*, any exemptions contained in New Brunswick securities law shall not apply to the Respondents;
- 3. Pursuant to section 186(1) of the *Securities Act*, the Respondent Stephen V. Harrison shall pay an administrative penalty in the amount of six thousand dollars (\$6,000.00);
- 4. Pursuant to section 186(1) of the Securities Act, the Respondent Craig D. Briand

shall pay an administrative penalty in the amount of two thousand dollars (\$2,000.00);

5. Pursuant to section 185(1) of the *Securities Act*, the Respondents Harrison and Briand shall each pay investigative costs in the amount of one thousand dollars (\$1,000.00).

**DATED** at the City of Saint John this <u>14th</u> day of <u>April</u>, 2010.

New Brunswick Securities Commission Suite 300, 85 Charlotte Street Saint John, New Brunswick E2L 2J2

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