

IN THE MATTER OF THE SECURITIES ACT, SNB 2004, c. S-5.5

AND

IN THE MATTER OF

**FIRST GLOBAL VENTURES, S.A., AL GROSSMAN
AND ALAN MARSH SHUMAN**

SUPPLEMENTARY NOTICE OF HEARING

To: **FIRST GLOBAL VENTURES, S.A., AL GROSSMAN, AND ALAN MARSH SHUMAN**

TAKE NOTICE that The New Brunswick Securities Commission ("the Commission") will hold a hearing at the offices of the Commission, Suite 300, 85 Charlotte Street, Saint John, New Brunswick, commencing **on November 28, 2006 at 10:00 a.m.**

The hearing is being held pursuant to section 184, 185 and 186 of the *Securities Act*, S.N.B, 2004, c. S-5.5 ("the Act")

The purpose of the hearing is:

1. To determine whether an order as set out below should be issued in this matter; and
2. to make such other or further order as the Commission may deem just or necessary,

by reason of the allegations of Staff that the above named are in contravention of sections 45, 58 and 71 of the Act and appear to be engaging in conduct that is contrary to the public interest, and such additional allegations as Staff may make and the Commission may permit.

The following relief and remedies will be sought at the hearing:

1. An order pursuant to sections 184(1)(c), 184(1)(d) and 184(5) of the Act, that:
 - a. the respondent Alan Marsh Shuman be permanently banned from trading in any securities;
 - b. Any exemptions in New Brunswick securities law do not apply to the respondent Alan Marsh Shuman,
 - c. the respondent, Alan Marsh Shuman pay an administrative penalty for

failing to comply with New Brunswick securities law; and

2. An order pursuant to section 185(1) and 185(2) of the Act that the respondent, Alan Marsh Shuman, pay the fees and expenses for the costs of investigation and the fees and expenses relating to costs of or related to the hearing.

PARTICULARS OF THE BASIS FOR THE RELIEF AND REMEDIES SOUGHT IS SET OUT IN THE ATTACHED AMENDED STATEMENT OF ALLEGATIONS.


TAKE NOTICE that:

YOU MUST ATTEND THIS HEARING. IF YOU FAIL TO ATTEND, THE HEARING MAY PROCEED IN YOUR ABSENCE AND A DECISION OR ORDER CONTRARY TO YOUR INTEREST MAY BE ISSUED.

You are advised that:

- a. Commission staff intend to proceed in the English language.
- b. You are entitled to issue documents, present evidence and be heard in either English or French. If you wish to be heard in the French language, you must advise the Commission as soon as possible.
- c. You are entitled to be represented by counsel.

DATED at the City of Saint John this 1st day of ^{November} ~~October~~, 2006.



Manon Losier
Secretary of the Commission

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