IN THE MATTER OF THE SECURITIES ACT, S.N.B. 2004, c. S-5.5

AND

IN THE MATTER OF

KERRY JOHN O'NEILL and RENEE MARIE HELMIG aka NISHA HELMIG

(RESPONDENTS)

ORDER

WHEREAS on 23 November 2009 the Enforcement Staff (Staff) of the New Brunswick Securities Commission ("Commission") made application seeking an Order pursuant to paragraph 184(1.1)(c) of the *Securities Act*, SNB 2004, c. S-5.5, ("*Securities Act*") against the Respondents; and

WHEREAS Staff presented evidence regarding an Order of the British Columbia Securities Commission ("BCSC Order") placing restrictions on the Respondents; and

WHEREAS the Commission is satisfied that Staff have provided to the Respondents an opportunity to be heard on the Application in accordance with subsection 184(1.1); and

WHEREAS the Office of the Secretary of the Commission has advised the Panel that the Respondents have made no request to be heard in this matter and as such, under Local Rule 15-501, subsection 12(7), a decision may be issued without further notice; and

WHEREAS the Commission is of the opinion that it is in the public interest to make this Order.

IT IS HEREBY ORDERED that

- 1. With respect to Kerry John O'Neill:
 - (a) pursuant to paragraph 184(1.1)(c) and subparagraph 184(1)(c)(ii) of the *Securities Act*, the Respondent shall cease trading in and be permanently prohibited from purchasing any securities or exchange contracts (including, without limitation, the solicitation of trades in securities or any acts constituting attempts or acts in furtherance of trading in securities), except that he may trade in one account in his own name through a registered representative if he provides a copy

of this Order to the registered representative before any trade; and

- (b) pursuant to paragraphs 184(1.1)(c),184(1)(h) and 184(1)(i) of the *Securities Act*, the Respondent shall resign any position he may hold as a director or officer of an issuer, registrant or mutual fund manager and be permanently prohibited from becoming or acting as a director or officer of any issuer, registrant or mutual fund manager.
- 2. With respect to Respondent Renee Marie Helmig:
 - (a) pursuant to paragraph 184(1.1)(c) and subparagraph 184(1)(c)(ii) of the Securities Act, the Respondent shall cease trading in and be prohibited from purchasing any securities or exchange contracts for 10 years from the date of this Order (including without limitation, the solicitation of trades in securities or any acts constituting attempts or acts in furtherance of trading in securities), except that she may trade in one account in her own name through a registered representative provided that she provides a copy of this Order to the registered representative before any trade; and
 - (b) pursuant to paragraphs 184(1.1)(c), 184(1)(h) and 184(1)(i) of the *Securities Act*, the Respondent shall resign any position she may hold as a director or officer of an issuer, registrant or mutual fund manager and be prohibited from becoming or acting as a director or officer of any issuer, registrant or mutual fund manager for a period of 10 years from the date of this Order.

DATED this <u>19th</u> day of February 2010
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"original signed by"
David G. Barry, Panel Chair
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"original signed by"
Anne La Forest, Panel Member

New Brunswick Securities Commission

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