

IN THE MATTER OF THE *SECURITIES ACT*,
S.N.B. 2004, c. S-5.5

AND

IN THE MATTER OF

**WFG SECURITIES OF CANADA INC., and
DAVID LIMPERT**

(Respondents)

ORDER

WHEREAS the Respondents entered into a Settlement Agreement dated 31 May 2010 (Agreement) in which they agreed to a proposed settlement of violations of New Brunswick securities law, subject to the approval of the Commission;

AND UPON REVIEWING the said Agreement and the Agreed Statement of Facts therein;

AND WHEREAS the Commission is of the opinion that it is in the public interest to make this Order.

NOW THEREFORE IT IS HEREBY ORDERED THAT:

- (a) pursuant to section 191(1)(a) of the *Securities Act*, the Agreement dated 31 May 2010 with respect to the Respondents is hereby approved;
- (b) pursuant to section 184(1)(g) of the *Securities Act*, the Respondents are hereby reprimanded;

(c) pursuant to section 186(1) of the *Securities Act*, the Respondent WFG Securities of Canada Inc. shall pay an administrative penalty in the amount of twenty thousand dollars (\$20,000.00).

DATED at the City of Saint John this 21st day of June, 2010.

“original signed by”
Harry H. Williamson, Q.C., Panel Chair

“original signed by”
Ken Savage, Panel Member

“original signed by”
Sheldon Lee, Panel Member

New Brunswick Securities Commission
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