



Amendments to

FINANCIAL AND CONSUMER SERVICES COMMISSION LOCAL RULE 11-501 FEES

1 *Local Rule 11-501 Fees is amended by this Instrument.*

2 *Part 1 is amended, in section 1.1:*

(a) *in the definition of "Act", by deleting ", S.N.B. 2004, c. S-5.5"; and*

(b) *in the definition of "Commission" by replacing "New Brunswick Securities Commission" with "Financial and Consumer Services Commission continued under the Financial and Consumer Services Commission Act".*

(c) *by adding the following definition in alphabetical order:*

"Tribunal" means the Financial and Consumer Services Tribunal established under the *Financial and Consumer Services Commission Act*.

3 *Part 2 is amended:*

(a) *in section 2.1, by deleting the words "New Brunswick Securities";*

(b) *in subsection 2.7 (1), by adding "and \$300 for each additional class of securities or each type of unit offering" after "\$100 per issuer"; and*

(c) *in section 2.11, by adding ", Tribunal" after the word "Commission".*

4 *Part 4 is amended:*

(a) *in the portion preceding paragraph 4.2(a), by replacing "order made by the Commission" with "order made by the Tribunal" and by replacing "section 185 of the Act" with "section 44 of the Financial and Consumer Services Commission Act"; and*

(b) in the portion preceding paragraph 4.3(a), by replacing “order made by the Commission” with “order made by the Tribunal” and by replacing “section 185 of the Act” with “section 44 of the Financial and Consumer Services Commission Act”.

5 This instrument comes into force on 3 July 2014.