FINANCIAL AND CONSUMER SERVICES COMMISSION
regulation • education • protection

COMMISSION DES SERVICES FINANCIERS ET DES SERVICES AUX CONSOMMATEURS
réglementation • éducation • protection

CONFIDENTIAL

45-0103 (2006 Short Form Version)

(Report under section 83.11 of the *Criminal Code* and under section 7 of the *Regulations Implementing the United Nations Resolutions on the Suppression of Terrorism* and section 5.1 of the *United Nations Al-Qaïda and Taliban Regulations*)

(Credit Unions and Caisses Populaires, Insurance Companies, Trust Companies)

The Short Form version can be used by financial institutions to file a NIL Report only. To file a POSITIVE Report please use the Long Form Version of the Report – 45-0102

REPORTING MONTH:

Name of Financial Institution:	**Type of Financial Institution** ***(check one)***:	**Filing Options** ***(check one)***:
	☐ Insurance Company ☐ Credit Union/Caisse Populaire ☐ Trust Company	☐ FAX only ☐ MAIL only ☐ FAX and MAIL ☐ E-mail (.pdf File)
If this is a joint Report, insert the names of the other Provincially Regulated Financial Institutions (PRFI) included in this Report (see Instruction 12):	**Name of other PRFI(s)**:	

No The above financial institution(s) do not have an account in the name of a Designated Person*, or have a contract with a Designated Person, or possess or control property that is owned or controlled by or on behalf of a Designated Person.

Please complete the certificate below.

Definition:

- *"Property" includes assets under administration (both discretionary and non-discretionary).*

* **Please refer to #4 of the Instructions for the definition of "Designated Person".**

Certificate

The Undersigned certifies that, to the best of his/her knowledge, and after having made reasonable enquiries, the information contained in this Report is correct.

Name	**Signature**	**Title**	**Telephone**	**Date:**