
Citation: *Crandall v. Investment Industry Regulatory Organization of Canada*, 2018 NBFCST 4

PROVINCE OF NEW BRUNSWICK
FINANCIAL AND CONSUMER SERVICES TRIBUNAL
IN THE MATTER OF THE *SECURITIES ACT*, S.N.B. 2004, c s-5.5

Date: 2018-04-26
Docket: SE-001-2017

BETWEEN:

Robert A. Crandall,

Applicant,

-and-

Investment Industry Regulatory Organization of Canada,

Respondent.

ORDER

Restriction on publication: This Order has been anonymized to comply with the *Right to Information and Protection of Privacy Act*, S.N.B. 2009, c. R-10.6.

WHEREAS:

1. On April 23, 2018, the Tribunal issued an Order adjourning the hearing on the merits in this matter and providing the Investment Industry Regulatory Organization of Canada (IIROC) until April 27, 2018 to review the material uncovered by National Bank Financial and to disclose to Mr. Crandall any material relevant to the proceedings or identified in the November 27, 2017 Summons to Witness;
2. Later on April 23, 2018, counsel for IIROC requested an extension of the April 27, 2018 deadline to May 18, 2018 given that 49 boxes had been retrieved by National Bank Financial

from an offsite storage facility and IIROC was not yet in possession of all the boxes;

3. Mr. Crandall consents to the extension of the deadline to May 18, 2018;

THEREFORE, IT IS HEREBY ORDERED THAT:

4. The April 27, 2018 deadline as set out in the Tribunal's April 23, 2018 Order is extended to May 18, 2018; and
5. Upon receipt of the material referenced in the Tribunal's April 23, 2018 Order, Mr. Crandall shall have 7 days to advise the Registrar of the Tribunal how many additional documents were disclosed to him by IIROC.

DATED at the City of Saint John this 26th day of April, 2018.

Judith Keating, Q.C.

Judith Keating, Q.C.
Tribunal Chair

Raoul Boudreau

Raoul Boudreau
Tribunal Member

Gerry Legere

Gerry Legere
Tribunal Member