



CONFIDENTIAL

45-0102 (2006 Long Form Version)

(Report under section 83.11 of the *Criminal Code* and under section 7 of the *Regulations Implementing the United Nations Resolutions on the Suppression of Terrorism* and section 5.1 of the *United Nations Al-Qaïda and Taliban Regulations*)
Credit Unions and Caisses Populaires, Insurance Companies, Trust Companies

The Long Form version can be used by financial institutions to file a POSITIVE Report only. To file a NIL Report, please use the Short Form Version of the Report-45-0103

REPORTING MONTH:

| | | |
|--|--|---|
| Name of Financial Institution: | Type of Financial Institution (check one): <input type="radio"/> Credit Union/Caisse Populaire <input type="radio"/> Trust Company <input type="radio"/> Insurance Company | Filing Options (check one): <input type="radio"/> FAX only <input type="radio"/> MAIL only <input type="radio"/> FAX and MAIL <input type="radio"/> E-mail (.pdf File) |
| If this is a joint Report, insert the names of the other Provincially Regulated Financial Institutions (PRFI) included in this Report (see Instruction 12): | Name of other PRFI(s): | |
| <p>Yes The above financial institution(s) have an account in the name of a Designated Person*, or have a contract with a Designated Person, or possess or control property that is owned or controlled by or on behalf of a Designated Person.</p> | | |
| <p>Please complete the table below and the certificate at the end of this form.</p> | | |
| <p>Definitions:</p> <ul style="list-style-type: none"> • “No.” means “number”, and refers to the number of accounts, policies or contracts associated with a Designated Person. • “Property” includes assets under administration (both discretionary and non-discretionary). • “Provincially Regulated Financial Institution” (PRFI) means an entity that is regulated by the Superintendent of Credit Unions, Superintendent of Insurance, or the Superintendent of Trust Companies. • “Federally Regulated Financial Institution” means a Financial Institution that is regulated by OSFI <p>* Please refer to #4 of the Instructions for the definition of “Designated Person”.</p> | | |

| Type of Dealing with Designated Person | Total value of Dealing and type of entity involved | | | | | | Total | |
|--|--|----|--|----|---|----|-------|----|
| | Provincially Regulated Financial Institution | | Provincially Regulated Financial Institutions supervised by another Canadian regulator | | Foreign Branch(es) or subsidiary(ies) of Provincially Regulated Financial Institution | | No. | \$ |
| | No. | \$ | No. | \$ | No. | \$ | | |
| Property | | | | | | | | |
| Cash, cash equivalents, demand and term deposits | | | | | | | | |
| Securities (bonds, debentures, commercial paper, treasury bills, mutual fund units, common and preferred shares and derivatives) | | | | | | | | |
| Life insurance and annuity products with cash surrender value (cash surrender value) | | | | | | | | |
| Other property, including real estate | | | | | | | | |
| Totals | | | | | | | | |
| Loans | | | | | | | | |
| Mortgages, overdrafts, credit card balances, term loans, lines of credit balances, other indebtedness | | | | | | | | |
| Insurance | | | | | | | | |
| Life insurance policies with no cash surrender value (face value) | | | | | | | | |
| Annuity products with no cash surrender value (monthly income) | | | | | | | | |
| Property & casualty insurance policies (policy limit) | | | | | | | | |
| Group Benefit plans such as medical or dental plans (policy limit for Designated Person) | | | | | | | | |

Certificate

The Undersigned certifies that, to the best of his/her knowledge, and after having made reasonable enquiries, the information contained in this Report is correct, any Property summarized in this Report has been frozen, and the relevant account details have been reported to the Royal Canadian Mounted Police, the Canadian Security Intelligence Service and, if applicable, the Financial Transactions and Reports Analysis Centre of Canada and, in respect of any foreign operations, to foreign law enforcement officials, as appropriate.

| Name | Signature | Title | Telephone | Date |
|------|-----------|-------|-----------|------|
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Reminder: Section 83.1 of the Criminal Code, and Section 8 of the Regulations Implementing the United Nations Resolutions on the Suppression of Terrorism, and section 5.2 of the United Nations Al-Qaida and Taliban Regulations requires every person in Canada and every Canadian outside Canada to disclose forthwith to the Royal Canadian Mounted Police and the Canadian Security Intelligence Service (a) the existence of property in their possession or control that is owned or controlled by or on behalf of a Designated Person and (b) information about a transaction or proposed transaction in respect of property in their possession or control that is owned or controlled by or on behalf of a Designated Person. In addition, under the Proceeds of Crime (Money Laundering) and Terrorist Financing Act, persons subject to Part 1 of that Act are also required to report to the Financial Transactions and Reports Analysis Centre of Canada.