



CONFIDENTIAL

45-0104 (2017 Long Form Version)

(Report under subsection 11(2) of the *Regulations Implementing the United Nations Resolution on the Democratic People's Republic of Korea* or of the *Regulations Implementing the United Nations Resolution on Iran*) or subsection 6(2) of the *Special Economic Measures (Venezuela) Regulations*)

The Long Form version can be used by reporting institutions to file a **POSITIVE** Report only.
To file a **NIL** Report, please use the Short Form version - Report 45-0105

REPORTING MONTH:

Name of Financial Institution:	Type of Financial Institution (check one): <input type="radio"/> Credit Union/Caisse Populaire <input type="radio"/> Trust Company <input type="radio"/> Insurance Company	Filing Options (check one): <input type="radio"/> FAX only <input type="radio"/> MAIL only <input type="radio"/> FAX and MAIL <input type="radio"/> E-mail (.pdf file)
If this is a joint Report, insert the names of the other Provincially Regulated Financial Institutions (PRFI) included in this Report (see Instruction 12):	Name of other PRFI(s):	
<p>Yes The above financial institution(s) have an account in the name of a Designated Person*, or have a contract with a Designated Person, or possess or control property that is owned or controlled by or on behalf of a Designated Person.</p>		
<p>Please complete the table below and the certificate at the end of this form.</p>		
<p>Definitions:</p> <ul style="list-style-type: none"> • “No.” means “number”, and refers to the number of accounts, policies or contracts associated with a Designated Person. • “Property” includes assets under administration (both discretionary and non-discretionary). • “Provincially Regulated Financial Institution” (PRFI) means an entity that is regulated by the Superintendent of Credit Unions, Superintendent of Insurance, or the Superintendent of Trust Companies. • “Federally Regulated Financial Institution”(FRFI) means a financial institution that is regulated by OSFI. <p>* Please refer to #4 of the Instructions for the definition of “Designated Person”.</p>		

Type of Dealing with Designated Person	Total value of Dealing and type of entity involved				Total	
	Provincially Regulated Financial Institution		Provincially Regulated Financial Institution supervised by another Canadian regulator		No.	\$
	No.	\$	No.	\$		
Property						
Cash, cash equivalents, demand and term deposits						
Securities (bonds, debentures, commercial paper, treasury bills, mutual fund units, common and preferred shares and derivatives)						
Life insurance and annuity products with cash surrender value (cash surrender value)						
Other property, including real estate						
Totals						
Loans						
Mortgages, overdrafts, credit card balances, term loans, lines of credit balances, other indebtedness						
Insurance						
Life insurance policies with no cash surrender value (face value)						
Annuity products with no cash surrender value (monthly income)						
Property & casualty insurance policies (policy limit)						

Group Benefit plans such as medical or dental plans (policy limit for a Listed Person or Listed Entity)						
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Certificate

The Undersigned certifies that, to the best of his/her knowledge, and after having made reasonable enquiries, the information contained in this Report is correct, any Property summarized in this Report has been frozen, and the relevant account details have been reported to the Royal Canadian Mounted Police, the Canadian Security Intelligence Service and, in respect of any foreign operations, to foreign law enforcement officials, as appropriate.

Name	Signature	Title	Telephone	Date
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Reminder: Section 12 of the Regulations Implementing the United Nations Resolution on the Democratic People's of Korea or of the Regulations Implementing the United Nations Resolution on Iran requires every person in Canada and every Canadian outside Canada to disclose, without delay, to the Royal Canadian Mounted Police and the Canadian Security Intelligence Service

(a) the existence of property in their possession or control that is owned or controlled by or on behalf of a Designated Person and

(b) information about a transaction or proposed transaction in respect of property in their possession or control that is owned or controlled by or on behalf of a Designated Person.